SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no long Form 4 or Form 5 obligat Instruction 1(b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940									Estimated average burden hours per response: 0.5				0.5			
1. Name and Address of Reporting Person [*] DiBattiste Carol					2. Issuer Name and Ticker or Trading Symbol <u>AEye, Inc.</u> [LIDR]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner Officer (give title below) Other (specify bek				
(Last) ONE PARK PLACE SUITE 200	(First)	(Mi	ddle)	3. Date of Earliest Transaction (Month/Day/Year) 05/10/2022										-				
(Street) DUBLIN CA 94568						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially O																		
1. Title of Security (Instr. 3)					2. Transaction Date		2A. Deemed Execution Date, if any		3. Transaction 4		4. Securities Acquired (A) or Dispos (D) (Instr. 3, 4 and 5)			sed Of 5. Amount of Se Beneficially Owr Following Repor		6. Owners Direct (D) Indirect (I)	or	7. Nature of Indirect Beneficial
							Day/Year)	Code	v	Amount		(A) or (D)		Transaction(s) (Instr. 3 and 4)				Ownership (Instr. 4)
Common Stock					/10/2022	.0/2022		Α		33,106(1)		Α	\$ <mark>0</mark>	94,032		Ι)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable Expiration Date (Month/Day/Year)		Underlying Derivative			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following	e Ov is Fo ally (D In	10. Ownership Form: Direct (D) or Indirect (I)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisa	able	Expiration Date	Title		Amount or Number of Shares	7	Reported Transacti (Instr. 4)	ĭ `	(Instr. 4)	

Explanation of Responses:

1. Represents RSUs that, subject to the Reporting Person's continued service to the Issuer, will vest at the earlier of one year from the date of grant or the date of the next annual meeting of stockholders.

Remarks:

Andrew S. Hughes, by power of attorney 05/11/2022 filed herewith

OMB APPROVAL

3235-0287

OMB Number:

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

⁴ Intervisional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78f(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

Know all by these presents that the undersigned hereby constitutes and appoints each of Andrew S. Hughes, Siraj Husain, and Robert A. B:

 execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of AEye, Inc. (the "Company") Fo:
 do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such 1
 take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of being the undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing what This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 9th day of May, 2022.

/s/ Carol DiBattiste Carol DiBattiste