FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549	
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL									
OMB Number: 3235-0287									
Estimated average burden									
hours per response.	0.5								

	tion 1(b).	iuc. Sec		Filed							ties Exchang Impany Act o			34		nours	s per r	esponse:	0.5
1. Name and Address of Reporting Person* <u>Tewell Thomas</u>				2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>AEye, Inc.</u> [ LIDR ]									heck all ap Dire	ctor		10% Ov	wner		
(Last) (First) (Middle) 5521 HELLYER AVENUE					3. Date of Earliest Transaction (Month/Day/Year) 10/14/2021									A belo	,			specify	
(Street) SAN JOS (City)			5138 Zip)		4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Lir	ne) X For For	dual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person					
		Table	I - No	n-Deriva	ative S	Secu	rities	Aco	quired	d, Dis	posed of	, or	Bene	efici	ally Ow	ned			
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day				Execution Date,			ate,	3. Transaction Code (Instr. 8)  4. Securities Acquired (A Disposed Of (D) (Instr. 3,				d 5) Secu Bene Own	curities neficially ned Following		m: Direct or Indirect Instr. 4)	7. Nature of Indirect Beneficial Ownership			
									Code	v	Amount	(A) or (D)		Price	Tran	Reported Transaction(s) (Instr. 3 and 4)			(Instr. 4)
Common Stock 10/14/2				10/14/2	021 A			1,532,970	,532,970 <sup>(1)</sup> A		\$	1,532,970			D				
		Tal	ole II								osed of, convertib					ed			
1. Title of Derivative Security (Instr. 3)	3. Transaction Date (Month/Day/Year)	if any	eemed tion Date, h/Day/Year)		Transaction of Code (Instr. 8) Se Ac (A) Di		osed ) r. 3, 4	6. Date Exercisab Expiration Date (Month/Day/Year)		Amount of Securities Underlying Derivative Security (Ins 3 and 4)		J nstr.	8. Price of Derivative Security (Instr. 5)		re es ally g d tion(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	(A)	(D)	Date Exerc	isable	Expiration Date	Title	or	ount nber ires							

## **Explanation of Responses:**

1. Restricted stock units convert into common stock on a one-for-one basis. The reporting person received a restricted stock unit award which vests as to 25% of the total shares on March 15, 2022 with the remaining shares vesting at a rate of 1/48th on 15th of each month for 36 months beginning on April 15, 2022.

> /s/ Thomas Tewell 10/15/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.