SEC Form 4

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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OMB Number: 3235-028					
Estimated average burden					
hours per response	: 0.5				

	16. Form 4 or Form 5 may continue. See 1(b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 3 or Section 30(h) of the Investment Company Act of 1940	1934		l average burden response:	0.5
HUGHES (Last)	1. Name and Address of Reporting Person* HUGHES ANDREW S (Last) (First) (Middle) ONE PARK PLACE		2. Issuer Name and Ticker or Trading Symbol <u>AEye, Inc.</u> [LIDR] 3. Date of Earliest Transaction (Month/Day/Year) 12/15/2021	(Check all app Direc X Office below	blicable) ctor er (give title	Person(s) to Issue 10% Owne Other (spe below) eral Counsel	er
SUITE 200			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual o	r Joint/Group Fi	ling (Check Appli	cable
(Street) DUBLIN (City)	CA (State)	94568 (Zip)		Line) X Form	i filed by One Ri i filed by More tl	eporting Person han One Reportir	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following Reported	(I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock	12/15/2021		F ⁽¹⁾		7,901	D	\$5.45	1,384,298	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		of Expiration Date Derivative (Month/Day/Year) Securities Acquired (A) or		Amou Secu Unde Deriv	rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The transaction reported on this form represents a net settlement related to the vesting of a restricted stock unit award and the withholding of shares to satisfy tax withholding obligations in connection with the vesting event. No shares of stock were sold.

Remarks:

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		<i>.</i> –		-		

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.